SILVER LAW GROUP

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Silver Law Group ("SLG") is a nationally recognized securities and investment fraud law firm dedicated to representing investors in class action litigation, securities arbitration and SEC whistleblower matters . Over the years, the firm has built substantial experience representing victims of Ponzi schemes and other investment frauds before a wide range of tribunals, earning recognition in 2025 as one of Securities Class Action Services' Top 50 Plaintiff Law Firms.

At the helm of the firm is founding shareholder Scott Silver, a passionate investor advocate whose work has made him a recognized name in securities fraud law. Mr. Silver currently serves as Chairman of the Securities and Financial Fraud Group of the American Association for Justice, and his standing in the field has been affirmed repeatedly by his peers and industry observers alike — he has been named a Super Lawyer by the Super Lawyers rating network, recognized as Legal Elite by Florida Trend magazine, honored as a Top Securities Attorney by the South Florida Legal Guide and • National Trial Lawyers – Top 25 Class Action Trial Lawyers. He also holds an AV Rating from Martindale-Hubbell, the organization's highest distinction for both legal ability and ethical standards.

Beyond his litigation practice, Mr. Silver is a sought-after voice in the securities bar. He speaks regularly at law schools, legal conventions, and industry events, and has authored two widely referenced resources — a securities arbitration primer and an SEC whistleblower primer — both of which have become popular references for practitioners navigating these specialized areas of law.

Securities and Investment Fraud Class Actions

Below is a list of securities and investment fraud cases our firm or our attorneys have handled:

- *Fatnani v. JP Morgan Chase & Co. et al,* Case No. 23-cv-00712 (District of Oregon) – SLG served as lead counsel in a cryptocurrency Ponzi scheme case involving allegations against commercial banks resulting in a multi-million dollar settlement.
- *Billitteri v. Securities America*, Case No. 09-cv-01568 (Northern District of Texas) and *In re Medical Capital Broker Dealer Securities Litig.*, MDL No. 2145 (Judicial Panel on Multidistrict Litigation) – Scott Silver served as counsel to a large group of investors relating to two Ponzi schemes sold by Securities America resulting in a $70 million settlement for investors with pending arbitrations.
- *In re Woodbridge Investments Litigation (Comerica Bank),* Case No. 18-cv-00103 (Northern District of California) – class action against bank relating to an alleged $1.2 billion Ponzi scheme operated by Woodbridge Holdings resulting in substantial settlement for investors.
- *Camenisch, et al. v. Umpqua Bank*, Case No. 20-cv-05905 (Northern District of California) SLG served as lead counsel for investors in litigation against Umpqua Bank for allegedly

aiding and abetting a fraudulent scheme run by PFI which resulted in a $55 million dollar settlement.

- *TCA Global Credit Master Fund L.P. Litigation*, Case No. 20-CV-21808 (Southern District of Florida) – $26.5 million in settlements for Plaintiffs alleging that management inflated assets and earnings and the fund auditors knew about overstatements but failed to take appropriate action.
- *Quintana v. Morgan Stanley*, Case No. 05-cv-21401 (Southern District of Florida) – class action relating to alleged improper destruction of records by major Wall Street firm.
- *Schorrig v. IBM Credit Union, et al.*, Case No. 09-cv-80973 (Southern District of Florida) – class action brought under the Florida Securities and Investor Protection Act involving the sale of securities by an unlicensed dealer.
- *Cifuentes, et al. v. Regions Bank*, Case No. 11-cv-23455 (Southern District of Florida) – negligence claim against bank resulting in settlement for Ponzi scheme victims.
- *Bautista v. Wells Fargo Bank, N.A.*, Case No. 21-cv-61749 (Southern District of Florida) – Class Action against a bank for allegedly aiding and abetting a ponzi scheme resulting in a 26.25 million settlement for investor victims.
- *Liu v. Project Investors, Inc., et al.*, Case No. 16-cv-80060 (Southern District of Florida) – class action against Florida-based cryptocurrency exchange and its CEO for fraud resulting in settlement for investors.
- *Millstein v. Holtz et al.*, Case No. 21-CV-61179 (Southern District of Florida) – claims against alleged participants in the Seeman Holtz Ponzi scheme resulted in $600,000 settlement.

<u>*FINRA Arbitration Claims*</u>:

Over the past twenty-five years, Silver Law Group has represented claimants in thousands of FINRA arbitration cases, involving diverse claims ranging from Ponzi schemes to unsuitable investments, and in amounts ranging from $25,000 to multi-million dollar claims. Below is a sampling of specific cases we have handled or tried..

- *Candyce Myers v. Arkadios* – 2.7-million-dollar award on behalf of an elderly investor who was a victim of a Ponzi scheme.
- GWG L Bond Arbitrations – millions recovered for hundreds of investors in claims against dozens of brokerage firms for alleged misrepresentations and negligent due diligence.
- *In re: Samco Financial Services* – represented over 50 investors in multiple securities fraud arbitrations involving mortgage-backed securities. Scott Silver briefed, argued, and prevailed on the motions to dismiss. Investor losses exceeded $12 million. Scott Silver obtained a favorable settlement for all investors.
- *Casper v. Axiom Capital* – our attorneys represented a group of investors collectively awarded in excess of $2 million in compensatory damages plus attorney's fees and punitive damages.

- *Farmer v. Anthony Fareri, et al.* – our attorneys represented an elderly investor who was awarded compensatory damages of $1.13 million plus attorney's fees and punitive damages.
- Puerto Rico bond litigation – Silver Law Group represented over 100 investors obtaining over $10 million in awards and settlements alleging securities fraud over the sale of Puerto Rico bonds.

State and Federal Court Non-Class Action Securities Cases:

SLG routinely represents individual investors in state and federal court litigation.

- *Round v. Natural Diamonds Investment Co., et al.*, Case No. 18-cv-81151 (Southern District of Florida) – counsel to Plaintiff in alleged diamond investment fraud scheme.
- *Shave v. Stanford Financial Group, Inc.*, Case No. 07-cv-60749 (Southern District of Florida) – counsel to Plaintiff in alleged numismatic investment coin scheme.
- *Sandler, et al. v. Janney Montgomery*, Case No. 06-cv-21502 (Southern District of Florida) – counsel to Plaintiff in motion to confirm FINRA arbitration award.
- *Rosenthal Collins Group, LLC v. Ford Kennelly*, Case No. 07-cv-01421 (Northern District of Illinois) – counsel to investor in motion to confirm NFA arbitration award.
- *Ilich v. Howard*, Case No. 19-cv-00554 (Northern District of Florida) – counsel to investor in alleged real estate Ponzi scheme.
- *Smith v. Carlton Asset Management, et al.*, Case No. 07-cv-80464 (Southern District of Florida) – counsel to investor in alleged precious metals fraud.
- *Bates, et al. v. World PMX*, Case No. 13-cv-61138 (Southern District of Florida) – counsel to investor in alleged precious metals scam.
- *Tandi Partners Limited v. CRL Management LLC, et al.*, Case No. 13-cv-23900 (Southern District of Florida) – counsel for investor in claims for breach of fiduciary duty against investment advisory firm.
- *Smigiel Foundation v. Tradedesk Capital LLC, et al.*, Case No. 14-cv-81605 (Southern District of Florida) – counsel for not-for-profit corporation in lawsuit against investment advisory firm for breach of fiduciary duty.
- *Holland v. Worth Group, Inc., et al.*, Case No. 18-cv-80318 (Southern District of Florida) – counsel to elderly investor in claims against precious metal firm for breach of fiduciary duty.
- *Belesis, et al. v. Lowery*, Case No. 15-cv-02633 (Southern District of New York) – counsel to investor to confirm FINRA arbitration award including punitive damages.

- *UBS Financial Services, Inc., et al. v. Bounty Gain*, Case No. 50-2018-CA-006079 (Broward County Circuit Court) and Case No. 14-cv-81603 (Southern District of Florida) – counsel to investor in claims against company for conspiracy to defraud.

SEC Whistleblower Practice:

SLG represented a former financial advisor who reported against a large Wall Street firm resulting in a $1.8 million SEC Whistleblower award.

SLG represented an SEC whistleblower who remained anonymous receiving an amount of $19,995,000. *See* Law 360, SEC Awards $20 Million to Securities Fraud Whistleblower.

Receivership Practice:

SLG frequently works with SEC, CFTC, and bankruptcy receivers to help recover money for investors who are victims of Ponzi schemes such as:

- *Soneet Kapila, as Ch. 7 Trustee v. ODL Securities, Inc., et al.*, Case No. 11-cv-2725 (Bankruptcy Southern District of Florida)
- *James D. Sallah, as Receiver for OM Global Investment Fund, LLC v. BGT Consulting, LLC*, Case No. 16-cv-81483 (Southern District of Florida)
- *Goldberg, et al. v. D&E Communications, Inc., et al.*, Case No. 11-cv-22177 (Southern District of Florida)
- *SEC v. Natural Diamonds Investment Co., et al.*, Case No. 19-cv-80633 (Southern District of Florida)

In the News:

- Dad's Ponzi Scheme Costs Son Former BD $2.7 Million in FINRA Arbitration, Investment News (June 11, 2026)

Publications:

- Law. Com – The SEC's Increased Investigatory Focus on 'AI Washing' Is Creating a New Opportunity for SEC Whistleblowers – August 19, 2025
- Law.com – SEC Whistleblower Program: What to Expect Under the Trump Administration – February 03, 2025
- Law.com – In Defense of SEC Whistleblower Short Sellers: They Deserve to Have Their Cake and Eat It Too The position that short sellers should be – July 17, 2024

- PIABA Bar Journal – SEC Whistleblower Incentives Under the Dodd-Frank Wall Street Reform Act – Volume 18, No. 2 - 2011
- Brokerage Firms' Liability When They Fail To Warn About Bad Brokers, PLI Securities Arbitration, Vol. 1 at p. 437 (Aug. 2009)
- Non-Customer 'Customers' and Compulsory NASD Arbitration, PLI Securities Arbitration, Vol. 1 at p. 601 (Aug. 2002)
- Understanding Securities Arbitration, American Association for Justice Trial Magazine (April 2015)
- CFTC Eyeing Leveraged Precious Metals Investment Programs, Law360 (February 21, 2023)
- Disparate Accountability for Robinhood Platform And Its CEO, Law360 – March 9, 2021

Awards:

- Institutional Shareholder Services Inc. Securities Class Action Services The Top 50 Plaintiff Law Firms of 2025
- AV Preeminent Rating from Martindale-Hubbell
- Super Lawyers Florida 2026 – Securities Litigation
- Member – Entrepreneurs Organization
- Daily Business Review Most Effective Lawyer South Florida
- Board Member – Public Investors Advocate Bar Association (PIABA)
- Chair – Securities & Financial Fraud Group of the American Association of Justice (AAJ)
- National Trial Lawyers – Top 25 Class Action Trial Lawyers

Silver Law Group Team

Silver Law Group (SLG) is a boutique law firm representing investors in securities and investment fraud claims. Nationally recognized for its investor advocacy, SLG represents victims of Ponzi schemes, elder financial abuse, and investment fraud in class actions and arbitration, including before FINRA.

Managing partner Scott L. Silver is one of the country's leading experts on Ponzi schemes and investment fraud, having recovered millions of dollars for investors through class action litigation, securities arbitration, and SEC whistleblower claims. Our attorneys have served as counsel in many of the largest investment fraud cases of the past three decades, including high-profile actions against banks, auditors, and law firms for aiding and abetting fraudulent schemes.

For the past 25 years, SLG has represented investors sold Ponzi schemes, fraudulent private offerings, and unsuitable investments — pursuing securities class actions and arbitration claims against companies, banks, financial advisors, stockbrokers, and registered investment advisors.

SLG's team of lawyers, investigators, and forensic accountants has recovered millions of dollars for investors and represented more than 2,500 claimants in securities arbitration over the past 25 years. The firm is also deeply active in the SEC whistleblower program, working with corporate insiders, industry analysts, and investors to report fraud to the SEC — including a nearly $20 million SEC whistleblower award secured in 2026.

Our attorneys are frequent speakers, authors, and media commentators. Scott chairs the Securities and Investment Fraud Group of the American Association for Justice (AAJ), sits on the board of the Public Investors Advocate Bar Association (PIABA), and is ranked among the National Trial Lawyers' Top 25 Class Action Lawyers. He holds a Martindale-Hubbell Preeminent "AV" Rating for ethical standards and legal ability. Our attorneys are admitted in New York and Florida and represent investors nationwide on a contingency fee basis.

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