SILVER LAW GROUP

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FIRM RESUME

Coral Springs, Florida Boca Raton, Florida New York, New York

SILVER LAW GROUP - www.securitiesfraudattorneys.com

Silver Law Group ("SLG") is a securities and investment fraud law firm. SLG is nationally recognized for representing investors in investment fraud cases in securities arbitration and class action litigation. We have substantial experience representing victims of Ponzi schemes and other investment frauds before various tribunals. Scott Silver, the founding shareholder of SLG, is a passionate investor advocate and a recognized specialist in the area. Amongst other honors, Scott Silver serves as the Chairman of the Securities and Financial Fraud Group of the American Association of Justice, a Super Lawyer by the Super Lawyers' rating network, Legal Elite by Florida Trend's magazine, and Top Securities Attorney by South Florida Legal Guide. Scott Silver is also AV-rated by Martindale-Hubbell, the highest rating by this independent peer ratings company recognizing superior lawyer abilities and the highest grade for ethics. Securities C;ass Action Services – The Top 50 Plaintiff Law Firms 2025.

Scott Silver is a regular speaker at law schools, legal conventions, and industry events. Amongst other publications, Scott Silver has written a securities arbitration primer and an SEC whistleblower primer which have been published and used by others to understand these practice areas.

Securities and Investment Fraud Class Actions

The following is a list of securities and investment fraud cases in which the firm or one or more of its attorneys are or have been involved at this or prior law firms:

- *Fatnani v. JP Morgan Chase & Co. et al,* Case No. 3:23-cv-00712 (U.S. District Court Oregon) Scott Silver served as lead counsel in a cryptocurrency Ponzi scheme case in allegations against commercial banks.
- *Billitteri v. Securities America*, Case No. 3:09-cv-01568 (U.S. Dist. Ct. – Northern District of Texas) and *In re Medical Capital Broker Dealer Securities Litig.*, MDL No. 2145 (JPML 2009) – Scott Silver served as counsel to large group of investors relating to two Ponzi schemes sold by Securities America resulting in a $70 million settlement for investors with pending arbitrations.
- *In re Woodbridge Investments Litigation (Comerica Bank),* Case No. 18-cv-00103 (U.S. Dist. Ct. – Northern District of California) – Class Action against bank relating to an alleged $1.2 billion Ponzi scheme operated by Woodbridge Holdings resulting in substantial settlement for investors.

- *Camenisch, et al. v. Umpqua Bank*, Case No. 20-cv-05905 (U.S. Dist. Ct. – Northern District of California) – $55 Million settlement for investors in litigation against Umpqua Bank for allegedly aiding & abetting a fraudulent scheme run by PFI.
- TCA Global Credit Master Fund L.P. Litigation – $26.5 million in settlements. Plaintiffs alleged that management inflated assets and earnings, and the fund auditors knew about overstatements but failed to take appropriate action.
- *Quintana v. Mborgan Stanley*, Case No. 05-cv-21401 (U.S. Dist. Ct. – Southern District of Florida) – Class Action complaint relating to alleged improper destruction of records by major Wall Street firm.
- *Schorrig v. IBM Credit Union, et al.*, Case No. 09-cv-80973 (U.S. Dist. Ct. – Southern District of Florida) – Class Action brought under the Florida Securities and Investor Protection Act involving the sale of securities by an unlicensed dealer.
- *Cifuentes, et al. v. Regions Bank*, Case No. 11-cv-23455 (U.S. Dist. Ct. – Southern District of Florida) – Negligence claim against bank resulting in settlement for Ponzi scheme victims.
- *Bautista vs. Wells Fargo Bank,* N. A. CASE NO. 0:21-cv-61749 Southern District of Florida) – Which resulted in a 26.25 million retirement for investor victims.
- *Liu v. Project Investors, Inc., et al.*, Case No. 16-cv-80060 (U.S. Dist. Ct. – Southern District of Florida) – Class Action against Florida-based cryptocurrency exchange and its CEO for fraud resulting in settlement for investors.

FINRA Arbitration Claims:

Silver Law Group has appeared as claimants counsel in over 100,000 FINRA arbitration claims over the last twenty-five years.

- *Candyce Myers v. Arkadios* – 2.7-million-dollar award on behalf of an elderly investor who was a victim of a Ponzi scheme.
- GWG and Bond Arbitrations – Millions recovered. Represented hundreds of investors in claims against dozens of brokerage firms for alleged misrepresentations and negligent due diligence.
- *In re: Samco Financial Services* (FINRA) – The Firm represented over 50 investors in multiple securities fraud arbitrations involving mortgage-backed securities. Scott Silver briefed, argued, and prevailed on the motions to dismiss. Investor losses exceeded $12 million. Scott Silver obtained a favorable settlement for all investors.
- *Casper v. Axiom Capital*, FINRA Case No. 07-00624 – Our attorneys represented a group of investors collectively awarded in excess of $2 million in compensatory damages plus attorney's fees and punitive damages.
- *Farmer v. Anthony Fareri, et al.*, FINRA Case No. 06-01103 – our attorneys represented an elderly investor who was awarded compensatory damages of $1.13 million plus attorney's fees and punitive damages.

- Puerto Rico bond litigation – Silver Law Group represented over 100 investors obtaining over $10 million in awards and settlements alleging securities fraud over the sale of Puerto Rico bonds.

State and Federal Court Non-Class Action Securities Cases:

SLG routinely represents individual investors in state and federal court litigation.

- *Round v. Natural Diamonds Investment Co., et al.*, Case No. 18-cv-81151 (U.S. Dist. Ct. – Southern District of Florida) – Counsel to Plaintiff in alleged diamond investment fraud scheme.
- *Shave v. Stanford Financial Group, Inc.*, Case No. 07-cv-60749 (U.S. Dist. Ct. – Southern District of Florida) – Counsel to Plaintiff in alleged numismatic investment coin scheme.
- *Sandler, et al. v. Janney Montgomery*, Case No. 06-cv-21502 (U.S. Dist. Ct. – Southern District of Florida) – Counsel to Plaintiff in motion to confirm FINRA arbitration award.
- *Rosenthal Collins Group, LLC v. Ford Kennelly*, Case No. 07-cv-01421 (U.S. Dist. Ct. – Northern District of Illinois) – Counsel to investor in motion to confirm NFA arbitration award.
- *Ilich v. Howard*, Case No. 19-cv-00554 (U.S. Dist. Ct. – Northern District of Florida) – Counsel to investor in alleged real estate Ponzi scheme.
- *Smith v. Carlton Asset Management, et al.*, Case No. 07-cv-80464 (U.S. Dist. Ct. – Southern District of Florida) – Counsel to investor in alleged precious metals fraud.
- *Bates, et al. v. World PMX*, Case No. 13-cv-61138 (U.S. Dist. Ct. – Southern District of Florida) – Counsel to investor in alleged precious metals scam.
- *Tandi Partners Limited v. CRL Management LLC, et al.*, Case No. 13-cv-23900 (U.S. Dist. Ct. – Southern District of Florida) – Counsel for investor in claims for breach of fiduciary duty against investment advisory firm.
- *Smigiel Foundation v. Tradedesk Capital LLC, et al.*, Case No. 14-cv-81605 (U.S. Dist. Ct. – Southern District of Florida) – Counsel for not-for-profit corporation in lawsuit against investment advisory firm for breach of fiduciary duty.
- *Holland v. Worth Group, Inc., et al.*, Case No. 18-cv-80318 (U.S. Dist. Ct. – Southern District of Florida) – Counsel to elderly investor in claims against precious metal firm for breach of fiduciary duty.
- *Belesis, et al. v. Lowery*, Case No. 15-cv-02633 (U.S. Dist. Ct. – Southern District of New York) – Counsel to investor to confirm FINRA arbitration award including punitive damages.
- *UBS Financial Services, Inc., et al. v. Bounty Gain*, Case No. 50-2018-CA-006079 (Broward Cir. Ct.) and Case No. 14-cv-81603 (U.S. Dist. Ct. – Southern District of Florida) – Counsel to investor in claims against company for conspiracy to defraud.

SEC Whistleblower Practice:

SLG represented a former financial advisor who reported against a large Wall Street firm resulting in a $1.8 million SEC Whistleblower award in 2019.

SLG represented an SEC whistleblower who remained anonymous receiving an amount of $19,995,000.00 See Law 360 SEC Awards $20 Million to Securities Fraud Whistleblower.

Receivership Practice:

SLG frequently works with SEC, CFTC, and bankruptcy receivers to help recover money for investors who are victims of Ponzi schemes such as:

- *Soneet Kapila, as Ch. 7 Trustee v. ODL Securities, Inc., et al.*, Case No. 11-cv-2725 (U.S. Bankruptcy Ct. – Southern District of Florida)
- *James D. Sallah, as Receiver for OM Global Investment Fund, LLC v. BGT Consulting, LLC*, Case No. 16-cv-81483 (U.S. Dist. Ct. – Southern District of Florida)
- *Goldberg, et al. v. D&E Communications, Inc., et al.*, Case No. 11-cv-22177 (U.S. Dist. Ct. – Southern District of Florida)
- *SEC v. Natural Diamonds Investment Co., et al.*, Case No. 19-cv-80633 (U.S. Dist. Ct. – Southern District of Florida)

In the News:

- Dad's Ponzi Scheme Costs Son Former BD $2.7 Million in FINRA Arbitration. Investment News June 11, 2026

Publications:

- Law. Com – The SEC's Increased Investigatory Focus on 'AI Washing' Is Creating a New Opportunity for SEC Whistleblowers – August 19, 2025
- Law.com – SEC Whistleblower Program: What to Expect Under the Trump Administration – February 03, 2025
- Law.com – In Defense of SEC Whistleblower Short Sellers: They Deserve to Have Their Cake and Eat It Too The position that short sellers should be – July 17, 2024
- PIABA Bar Journal – SEC Whistleblower Incentives Under the Dodd-Frank Wall Street Reform Act – Volume 18, No. 2 - 2011
- Brokerage Firms' Liability When They Fail To Warn About Bad Brokers, PLI Securities Arbitration, Vol. 1 at Pg. 437 (Aug. 2009)

- Non-Customer ‘Customers’ and Compulsory NASD Arbitration, PLI Securities Arbitration, Vol. 1 at Pg. 601 (Aug. 2002)
- Understanding Securities Arbitration, American Association for Justice Trial Magazine (April 2015)
- CFTC Eyeing Leveraged Precious Metals Investment Programs Law360 -February 21, 2023
- Disparate Accountability for Robinhood Platform And Its CEO – Law360- March 9, 2021

Awards:

- Institutional Shareholder Services Inc. Securities Class Action Services The Top 50 Plaintiff Law Firms of 2025
- AV Preeminent Rating from Martindale-Hubbell
- Super Lawyers Florida 2026 – Securities Litigation
- Member – Entrepreneurs Organization
- Daily Business Review Most Effective Lawyer South Florida
- Board Member – Public Investors Advocate Bar Association (PIABA)
- Chair – Securities & Financial Fraud Group of the American Association of Justice (AAJ)
- National Trial Lawyers – Top 25 Class Action Trial Lawyers

Silver Law Group Team

SLG is a boutique law firm dedicated to representing investors in securities and investment fraud claims. Nationally recognized for our securities arbitration practice, SLG routinely represents victims of Ponzi schemes, elder financial abuse, and investment frauds in cases before the state and federal courts and many arbitration forums including FINRA, National Futures Association (“NFA”), and the American Arbitration Association (“AAA”).

Scott L. Silver is the managing partner of Silver Law Group, a nationally recognized law firm representing investors to recover losses due to violations of the federal securities law. Scott is one of the country's leading experts on Ponzi schemes and other investment frauds and has recovered millions for investors through class action and related litigation, securities arbitration and SEC whistleblower claims. Few lawyers in the country share Scott's significant experience representing victims of Ponzi schemes and he has served as counsel in many of the largest investment fraud cases of the last three decades including working on many high profile securities fraud cases against banks, auditors and law firms for aiding or assisting many different frauds and schemes. Scott's career began with a Wall Street defense firm in the 90's where he learned many of wall street's tricks, over the last 25 years, Scott has dedicated his practice to representing investors who have been sold Ponzi schemes, fraudulent private offerings and unsuitable investments routinely representing investors in securities arbitration claims against financial advisors, stockbrokers and registered investment advisors. Scott has led a team of lawyers, investigators and forensic accounts representing over 2,500 claimants in securities arbitration claims over the last twenty five years. In recent years, Scott has become very active with the SEC whistleblower program and routinely works with corporate insiders, industry analysts and investors to report fraud and misconduct to the SEC whistleblower office.

A regular speaker, author and media commentator, Scott serves on the chair of the Securities and Investment Fraud Group of the American Association of Justice (AAJ), a board member of Public Investors Advocate Bar Association ("PIABA"), a member of the National Trial Lawyers Top 25 Class Action Lawyers and holds a Martindale-Hubbell Preeminent "AV" Rating for ethical standards and legal ability. Admitted to practice in New York and Florida, Scott represents investors nationwide on a contingency fee basis.

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